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Attorneys for Defendant  
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UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

ESTHER LOWINGER,

Plaintiff,

v.

PZENA INVESTMENT MANAGEMENT,  
INC., et al.,

Defendants.

Master File No. 07 CV 10524 (AKH)

**RULE 7.1 STATEMENT**

**DISCLOSURE STATEMENT OF UBS SECURITIES LLC**

Pursuant to Rule 7.1 of the Federal Rules of Civil Procedure, UBS Securities LLC (“UBS”), through its undersigned attorneys, certifies that UBS AG, a publicly held corporation, is the ultimate parent company of UBS. No person or entity owns more than 10% of UBS AG stock.

Dated: May 22, 2008  
New York, New York

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